



A36475-PCT-USA (066340.0218)
Rec'd PCT/PTO 27 JUL 2005

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jens David et al.
Serial No. : 10/525,688
Filing Date : February 22, 2005
Title : METHOD FOR INITIALIZING
PROGRAMMABLE SYSTEMS
Customer No.: 21003

**RESPONSE TO NOTIFICATION OF MISSING
REQUIREMENTS UNDER 35 U.S.C. 371**

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the
United States Postal Service as first class mail in an envelope
addressed to: Commissioner for Patents, Box 1450,
Alexandria, VA 22313-1450.

July 25, 2005
Date of Deposit

Manu J. Teiwani
Attorney Name


Signature

37,952
Patent Reg. No.

July 25, 2005
Date of Signature

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Responsive to a Notification of Missing Requirements Under 35 U.S.C. §371
mailed on July 11, 2005, a copy of which is enclosed, enclosed herewith is a Combined
Declaration and Power of Attorney referring to the above-identified application by one or more
of the following in compliance with 37 C.F.R. 1.497(a) and (b) and 37 CFR 1.492(e):

[X] Name of inventors and application serial number;

- ☒ Name of inventors, attorney docket number which was on the application as filed, and filing date of the application;
- ☒ Name of inventors, title of invention and filing date;
- ☐ Name of inventor, title of invention and reference to a specification which is attached to the oath or declaration at the time of execution and filed with the oath or declaration;
- ☐ Name of inventor, title of invention. As presently advised, the undersigned, a registered attorney, states that the application filed in the PTO is the application which the inventor executed by signing the oath or declaration.
- ☒ Pursuant to 37 CFR 1.492(e), Applicant has enclosed a check for \$130.00 for the late declaration. Should any additional fee be required, or if any overpayment has been made, the Commissioner is hereby authorized to charge any fees, or credit or any overpayments made, to Deposit Account 02-4377.
- ☐ Applicant does not believe that any additional fee is required in connection with the submission of this document. However, should any fee be required, or if any overpayment has been made, the Commissioner is hereby authorized to charge any fees, or credit or any overpayments made, to Deposit Account 02-4377.

Respectfully submitted,

BAKER BOTTS L.L.P.

By: 

Manu J. Teiwani

Patent Office Reg. No. 37,952

30 Rockefeller Center

New York, NY 10112-4498

(212) 408-2500

Attorneys for Applicant(s)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
|-----------------------------|-----------------------|-------------------------------|
| 10/525,688 | Jens David | A36475 PCT USA (066340.02) |

INTERNATIONAL APPLICATION NO.

PCT/DE03/01747

| I.A. FILING DATE | PRIORITY DATE |
|------------------|---------------|
| 05/28/2003 | 08/30/2002 |

Manu J Tejwani
Baker Botts
30 Rockefeller Plaza
New York, NY 10112

CONFIRMATION NO. 7649

371 FORMALITIES LETTER



OC000000016488718

Date Mailed: 07/11/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/22/2005
- Copy of the International Search Report filed on 02/22/2005
- Information Disclosure Statements filed on 02/22/2005
- Copy of references cited in ISR filed on 02/22/2005
- U.S. Basic National Fees filed on 02/22/2005
- Substitute Specification filed on 02/22/2005
- Priority Documents filed on 02/22/2005

08/02/2005 GFREY1 00000079 10525688

01 FC:1617

130.00 op

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- **\$130** Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,

WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

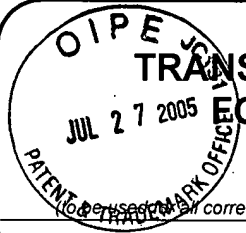
Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|-------------------------------|
| 10/525,688 | PCT/DE03/01747 | A36475 PCT USA (066340.02) |

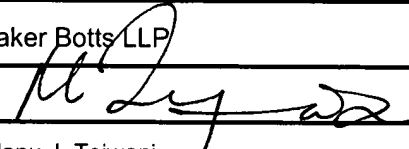
FORM PCT/DO/EO/905 (371 Formalities Notice)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

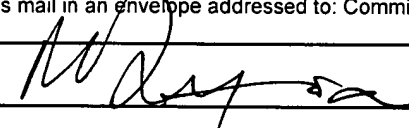
| | | |
|---|----------------------|---|
|  | Application Number | 10/525,688 ✓ |
| | Filing Date | 02/22/2005 |
| | First Named Inventor | David et al. |
| | Art Unit | |
| | Examiner Name | |
| Total Number of Pages in This Submission | | Attorney Docket Number A36475-PCT-USA (066340.0218) |

| ENCLOSURES (Check all that apply) | | |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | Return Receipt Postcard |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="text"/> Remarks | |
| <input checked="" type="checkbox"/> Reply to Missing Parts/Incomplete Application | | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

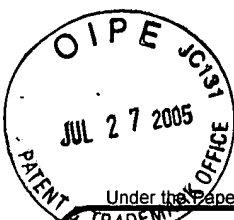
| | | | |
|--------------|---|--------------|--------|
| Firm Name | Baker Botts LLP | Customer No. | 21003 |
| Signature |  | | |
| Printed name | Manu J. Tejwani | | |
| Date | 07/25/2005 | Reg. No. | 37,952 |

CERTIFICATE OF TRANSMISSION/MAILING

| | | | |
|---|---|------|------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: | | | |
| Signature |  | | |
| Typed or printed name | Manu J. Tejwani | Date | 07/25/2005 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known

| | |
|----------------------|------------------------------|
| Application Number | 10/525,688 |
| Filing Date | 02/22/2005 |
| First Named Inventor | David et al. |
| Examiner Name | |
| Art Unit | |
| Attorney Docket No. | A36475-PCT-USA (066340.0218) |

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 02-4377
Deposit Account Name: Baker Botts L.L.P.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 1001 | 2001 | Utility filing fee | |
| 1002 | 2002 | Design filing fee | |
| 1003 | 2003 | Plant filing fee | |
| 1004 | 2004 | Reissue filing fee | |
| 1005 | 2005 | Provisional filing fee | |
| SUBTOTAL (1) | | | (\$) 0 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | -20 = | | =0 |
| Independent Claims | -3 = | | =0 |
| Multiple Dependent | | | =0 |

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | |
|-----------------------|-----------------------|--|------------|
| 1202 | 2202 | Claims in excess of 20 | |
| 1201 | 2201 | Independent claims in excess of 3 | |
| 1203 | 2203 | Multiple dependent claim, if not paid | |
| 1204 | 2204 | ** Reissue independent claims over original patent | |
| 1205 | 2205 | ** Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | (\$) 0.00 |

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee Code | Fee Description | Fee Paid |
|----------|----------|--|----------|
| 1051 | 2051 | Surcharge - late filing fee or oath | 130 |
| 1052 | 2052 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 1053 | Non-English specification | |
| 1812 | 1812 | For filing a request for ex parte reexamination | |
| 1804 | 1804 | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1805 | Requesting publication of SIR after Examiner action | |
| 1251 | 2251 | Extension for reply within first month | |
| 1252 | 2252 | Extension for reply within second month | |
| 1253 | 2253 | Extension for reply within third month | |
| 1254 | 2254 | Extension for reply within fourth month | |
| 1255 | 2255 | Extension for reply within fifth month | |
| 1401 | 2401 | Notice of Appeal | |
| 1402 | 2402 | Filing a brief in support of an appeal | |
| 1403 | 2403 | Request for oral hearing | |
| 1451 | 1451 | Petition to institute a public use proceeding | |
| 1452 | 2452 | Petition to revive - unavoidable | |
| 1453 | 2453 | Petition to revive - unintentional | |
| 1501 | 2501 | Utility issue fee (or reissue) | |
| 1502 | 2502 | Design issue fee | |
| 1503 | 2503 | Plant issue fee | |
| 1460 | 1460 | Petitions to the Commissioner | |
| 1807 | 1807 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 1806 | Submission of Information Disclosure Stmt | |
| 8021 | 8021 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 2809 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 2810 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 2801 | Request for Continued Examination (RCE) | |
| 1802 | 1802 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

SUBMITTED BY

| | | | | | |
|-------------------|----------------|-----------------------------------|------------|-----------|--------------|
| Name (Print/Type) | Manu J. Tejwan | Registration No. (Attorney/Agent) | 37,952 | Telephone | 212-408-2500 |
| Signature | | Date | 07/25/2005 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.